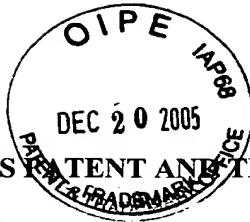


Docket No. 210039US2



IFW  
2655

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Hiroshi SHINGAI, et al.

SERIAL NO: 09/883,199

GAU: 2655

FILED: June 19, 2001

EXAMINER: Jorge L. O. Criado

FOR: OPTICAL RECORDING MEDIUM AND OPTICAL RECORDING METHOD

### INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

#### REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

#### RELATED CASES

- Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

#### CERTIFICATION

- Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

#### DEPOSIT ACCOUNT

- Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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| Docket No.: 210039US2 | Serial No.: 09/883,199 |
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|---|-----------------------------------|
| LIST OF RELATED CASES CITED BY<br>APPLICANT UNDER 37 CFR 1.56 | Inventor: Hiroshi SHINGAI, et al. |
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|----------------------------|-------------|
| Filing Date: June 19, 2001 | Group: 2655 |
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#### LIST OF RELATED CASES

| Examiner<br><u>Initial</u> | Docket No. | Serial or<br><u>Patent<br/>Number</u> | Filing or<br><u>Issue Date</u> | Patent App.<br><u>Publication No.</u> | Inventor or<br><u>Applicant</u> |
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Examiner

Date Considered